

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SUGIYAMA et al.

Atty. Docket No. 100725-00120

Serial No.: To Be Assigned

Examiner: To Be Assigned

Filed: Herewith

Art Unit: To Be Assigned

For: CONSTANT VELOCITY UNIVERSAL JOINT

INFORMATION DISCLOSURE STATEMENT

Director of the U.S. PTO
P.O. Box 1450
Alexandria, VA 22313-1450

Date: March 16, 2004

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The references were cited by or submitted to the Office in parent application No. 10/339,464, filed January 10, 2003 and parent application No. 09/816,775 filed March 26, 2001, which are relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 CFR § 1.98(d).

Respectfully submitted,


Murat Ozgu
Registration No. 44,275

Customer No. 004372
ARENT FOX PLLC
1050 Connecticut Avenue, N.W.,
Suite 400
Washington, D.C. 20036-5339
Tel: (202) 857-6000
Fax: (202) 638-4810

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Enclosure: PTO Form 1449
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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 100725-00120	New Patent Application
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		APPLICANT SUGIYAMA et al.	
		FILING DATE	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	4,773,890	Sept. 27, 1988	Iwasaki et al.	464	111	April 2, 1987
	AB	4,810,232	March 7, 1989	Farrell et al.	464	111	April 20, 1989
	AC	4,886,479	Dec. 12, 1989	Richtmeyer et al.	464	111	Sept. 12, 1988
	AD	5,199,925 A	April 6, 1993	Welschof	464	111	Nov. 5, 1990
	AE	5,203,741 A	April 20, 1993	Turner et al.	464	111	May 15, 1991
	AF	5,376,049 A	Dec. 27, 1994	Welschof et al.	464	111	Sept. 17, 1992
	AG	5,507,693 A	April 16, 1996	Schwarzler et al.	464	111	July 13, 1993
	AH	5,538,473 A	July 23, 1996	Busch et al.	464	111	Nov. 15, 1993
	AI	5,788,577 A	Aug. 4, 1998	Kadota et al.	464	111	Jan. 4, 1996
	AJ	5,989,124 A	Nov. 23, 1999	Goto et al.	464	111	Sept. 9, 1997
	AK	6,475,092	Nov. 5, 2002	Kura et al.	464	111	Dec. 4, 2000
	AL	6,478,682	Nov. 12, 2002	Kura et al.	464	111	Oct. 30, 2000
	AM	6,547,667	April 15, 2003	Sugiyama et al.	464	111	March 29, 2001
	AN	US-2003/0073501 A1	April 17, 2003	Goto et al.	464	111	Sept. 24, 2002

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO PART.		

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	